

**STATEMENT UNDER 37 C.F.R. § 3.73(b)**

Applicant/Patent Owner: Robert Minter Alexander, IV, et al.  
Application No./Patent No.: 10/822,999 Filed/Issued 04/13/2004  
Title: **SYSTEM AND METHOD FOR PROCESSING AND FOR FUNDING A TRANSACTION**  
Capital One Financial Corporation, a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ The assignee of the entire, right, title, and interest; or  
An assignee of less than the entire right, title and interest.  
2. ☐ The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An Assignment from the inventor(s) of the patent application identified above. The Assignment was recorded in the U.S. Patent and Trademark Office at Reel 015219, Frame 0151, or a true copy of the original assignment is attached.

OR

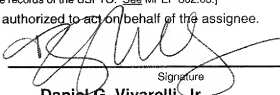
- B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as follows:
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08.]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Nov. 21, 2008  
Date

  
Signature  
Daniel G. Vivarelli, Jr.  
Typed or Printed Name  
Counsel for Assignee, Reg. No. 51,137  
Title